

**Development of the State Smart Transportation Initiative's DelDOT 3-D
Micro Model Process - A scenario planning tool to evaluate urban form, land
use, and multimodal investment impacts on mobility**

Corresponding Author:

Scott Thompson-Graves, PE

Whitman, Requardt, and Associates, LLP

300 Seven Fields Boulevard, Suite 130

Seven Fields, PA 16046

Phone: 724-779-7940

Fax: 724-779-7943

sthompson-graves@wrallp.com

Co-Authors:

Michael DuRoss

Delaware Department of Transportation

P.O. Box 778

Dover, DE 19903

Phone: 302-760-2110

Fax: 302-739-2251

Michael.DuRoss@state.de.us

Rafey Subhani, PE

Whitman, Requardt, and Associates, LLP

801 South Caroline St.

Baltimore, MD 21231

Phone: 410.235.3450

Fax: 410.243.5716

rsubhani@wrallp.com

Bill Holloway

State Smart Transportation Initiative at the Center on Wisconsin Strategy

University of Wisconsin

1180 Observatory Drive, Room 7122

Madison, WI 53706

608-265-5899

holloway@ssti.us

Eric Sundquist

State Smart Transportation Initiative at the Center on Wisconsin Strategy

University of Wisconsin

1180 Observatory Drive, Room 7122

Madison, WI 53706

608-265-6155

erics@cow.s.org

1 **ABSTRACT**

2
3 The Land Use and Transportation Scenario Analysis and Microsimulation (LUTSAM)
4 application is the result of collaboration between the Delaware Department of Transportation
5 (DelDOT) and the State Smart Transportation Initiative (SSTI) at the University of Wisconsin -
6 Madison. The traditional approach to transportation and land use areawide and corridor studies
7 which relies on existing travel demand models and microsimulation is cumbersome and difficult
8 to complete in a timely manner during the course of a study. It is also difficult to produce the
9 results needed to evaluate the direct impacts of urban form, land use, and multimodal
10 investments, especially bicycle and pedestrian investments, on mobility. This is particularly
11 difficult with existing models since many do not operate at the level of geographic resolution
12 needed. Industry wide, parcel-level modeling has been proven to improve such shortcomings in
13 traditional travel demand modeling by providing the appropriate level of detail, along with
14 measure of effectiveness (MOEs) that better quantify these analyses, however most parcel based
15 models have also been developed as advanced models (activity based or tour based models). This
16 has left a gap for studies performed in areas lacking these advanced models or studies that cannot
17 be performed with the current long run times needed to take advantage of the features of
18 advanced models.

19
20 Another current shortcoming is the need to convey the results of these studies to decision-makers
21 and the public engagement in a manner that is easily understood. If the goal of a study is to
22 change zoning and land use planning to help communities achieve their transportation related
23 quality of life then this is equally important to a thorough analysis.

24
25 To this end, the LUTSAM process was developed to accurately evaluate various land use and
26 transportation scenarios, providing a bridge between GIS, travel demand modeling and 3-D
27 microsimulation, and quantifying meaningful results for better decision-making. This process
28 can be easily used to improve current 4-step and advanced travel demand models to work at the
29 parcel and building level within the study area while producing easily transferable results to
30 industry standard microsimulation software.

31
32 LUTSAM not only accelerates scenario development but also (1) provides a platform for testing
33 land use planning, multimodal investments such as improving bicycle and pedestrian mobility;
34 (2) encourages public engagement in community planning and decision making; and (3)
35 encourages interactions between planners, modelers and engineers.

36
37 This paper describes the LUTSAM GIS application, the travel demand process, microsimulation
38 and case studies that quantify impacts to the communities, especially mobility impacts on
39 pedestrians and bicyclists.

40 INTRODUCTION

41
42 The Land Use and Transportation Scenario Analysis and Microsimulation (LUTSAM)
43 application establishes a transferable process for planners to perform scenario testing on various
44 residential, commercial, and industrial development scenarios along with multimodal
45 transportation investments. The application performs Smart Transportation/Smart Growth
46 analyses by combining industry standard GIS, Travel Demand, and 3D Microsimulation tools.

47 The LUTSAM application requires as inputs model highway and sidewalk networks,
48 demographics, land use layers such as buildable regions, and base map layers, such as
49 boundaries and natural features, that aid the planner to develop various land use scenarios
50 through a series of steps in a user-friendly Graphical User Interface Editor. The resulting output
51 network node and link shapefiles, contains updated demographics, roadways and sidewalks, and
52 can be input to any travel demand model to test the land use alternatives. A sub-area extraction
53 process is then applied to export to 3-D microsimulation tools. The output network from
54 LUTSAM can also be visualized in 3-D using 3D GIS extensions.

55

56 BACKGROUND

57 Existing DelDOT Model

58 DelDOT's Peninsula Model is a typical statewide travel demand model that covers the state of
59 Delaware plus the 9 counties of Maryland's Eastern Shore, covering over 5,000 square miles and
60 1.4 million people (Figure 1). The model operates at two levels of resolution. The first, referred
61 to as the TAZ Model, includes 2,108 traffic analysis zones (TAZ's) and 13,491 links, including
62 most of the collector roads, arterials, expressways, and freeways within the model area. The
63 second, referred to as the Micro Model, includes 19,640 TAZ's and 177,211 links and includes
64 most of the local roads in addition to the TAZ Model network (1). In order to reduce processing
65 time, the micro model allows the selection of areas to be modeled using the Micro Model
66 resolution and run within the framework of the TAZ Model, in other words the model can be run
67 with the enhanced resolution where necessary while still capturing regional traffic flow based
68 upon the TAZ Model.

69 The Peninsula Model is a traditional four-step travel demand model with feedback between
70 traffic assignment and trip distribution and includes a series of fully integrated supplemental
71 modules including:

- 72 • EZ-Pass Toll/Mode Choice model
- 73 • Air Quality Post Processor
- 74 • Build/No-Build Benefit Cost Module
- 75 • Statewide Evacuation Model
- 76 • Seasonal Tourism Model

- 77 • Optional Junction Assignment Module
78 • TIS Trip Generation Module
79 • Standard reporting features

80 These modules have been developed as a result of DeIDOT's standing policy that requires all
81 model development and major model applications to:

- 82 1. Develop standard applications to enhance production of consistent results rather than
83 unique model runs;
84 2. Integrate new modules or applications with the entire model chain; and
85 3. Leverage model development through existing programs such as the Delaware Travel
86 Monitoring System (DTMS) and major studies by enforcing the first and second elements of the
87 policy (1).

88 While the Peninsula Model meets most of Delaware's multi-modal forecasting needs, research
89 has shown that performing analysis at the parcel level significantly improves the ability to
90 evaluate the impacts on urban form, land use, and multi-modal infrastructure investments on
91 pedestrian, bicycle, transit, and passenger car travel demand (2,3).



92

93

FIGURE 1 DeIDOT's Peninsula model.

94 **State of the Practice**

95 The current emphasis of parcel based travel forecasting often combines enhanced geographic
96 resolution with activity-based or tour-based travel demand models (4,5,6). On the other hand,
97 land use modeling focusses on developing econometric models that relate the impact on
98 development patterns based upon transportation investments. While these models are key to
99 answering policy level questions such as induced demand or the impacts of fuel prices on
100 regional travel, they are not necessary for area wide or corridor studies, nor do they provided the
101 detailed measures of effectiveness needed to evaluate the direct impact of urban form, land use,
102 and transportation investment on emissions, greenhouse gasses, or congestion. They also are not
103 geared to evaluating multiple land use, intensity, or land form scenarios or quantifying their
104 impacts in a manner that is easily understood by decision makers that can directly influence local
105 land use policies.

106 Another shortcoming of existing practices is the level of expertise needed to develop scenarios
107 for testing, evaluate scenarios, and convey results to other technical experts for evaluation. This
108 is increasingly a critical shortcoming as budget restrictions limit the staff available to perform
109 these analyses and the industry in general faces a shortage of technical experts.

110 **The Path Forward**

111 In order to overcome these shortcomings, SSTI and the Delaware Department of Transportation
112 partnered to develop an application that can be used to streamline scenario development and a
113 process that streamlines the use of a combination of travel demand and 3-D micro simulation
114 models to evaluate, analyze, and convey results to the public and decision makers in a manner
115 that is easily understood. In other words, the process produces improved measures of
116 effectiveness that are easy to communicate in less time and on a smaller budget.

117 **LUTSAM PROCESS DESCRIPTION**

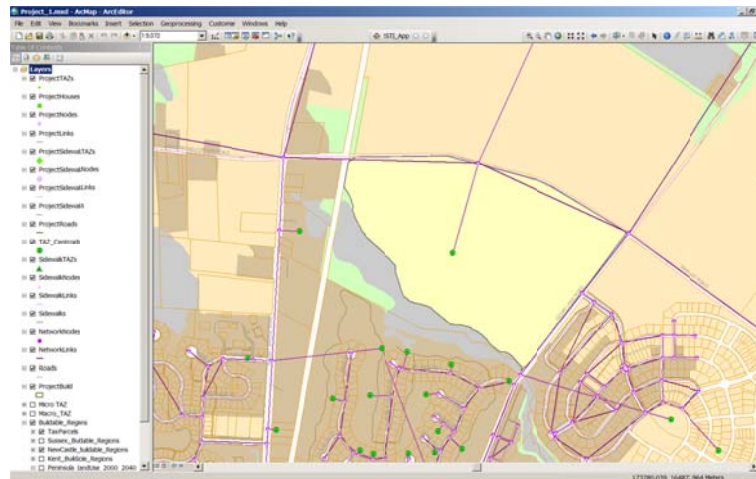
118 The LUTSAM application uses industry-standard GIS software, through a user-friendly
119 Graphical User Interface (GUI) developed as a GIS extension. The process requires: (1) model
120 layers, including a highway network (links and nodes) and a sidewalk network (links and nodes);
121 (2) buildable region layers such as tax parcels, land use, environmental and topographical
122 considerations such as wetlands and steep terrain, and TAZ boundaries that are used to define the
123 project region; (3) base map layers such as roadways, urban boundaries and natural features that
124 provide location and geographic reference.

125 The planner performs the following steps to develop scenarios for evaluation:

- 126 • Identify the project region where new development is proposed
- 127 • Subdivide the region into smaller "areas"
- 128 • Define land use type and density for each area
- 129 • Sketch roads and sidewalks within the region
- 130 • Draw homes along each roadway by defining frontage and setback
- 131 • Connect homes and sidewalks to the roadway and sidewalk network
- 132 • Merge the new roadway/sidewalk networks with the original model networks

133 ArcMap Initial Processing

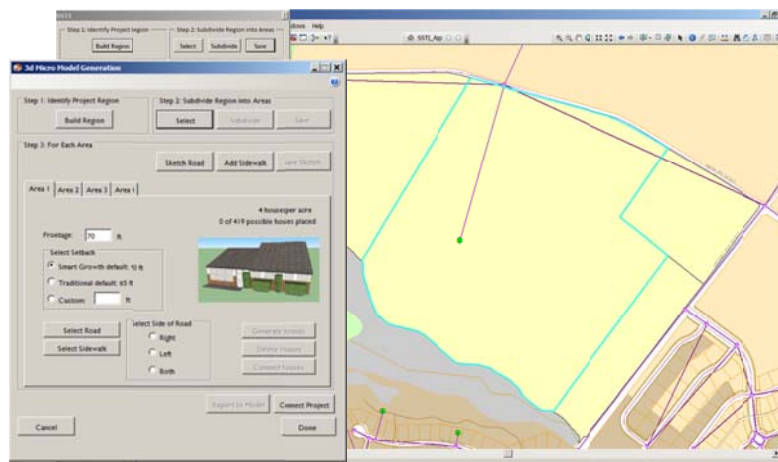
134 The LUTSAM is a GIS extension and the data required to run the program includes link and
 135 node shapefiles from the travel demand model, buildable region shapefiles such as parcel, land
 136 use, model TAZs (Figure 1) to define the subdivision. Background shapefiles such as roadways,
 137 water features, county boundaries can be loaded to understand locational features.



138
 139 **Figure 1: Initial Base Shapefiles**

140 Building Regions and Internal Network

141 The subdivision region is selected from the buildable shapefiles and subdivided to define “areas”
 142 within each region. These areas can either be of residential, industrial or commercial land use.
 143 Residential areas are further defined as single-family or multi-family and vary by different
 144 densities, such as four units per acre or ten units per acres. Each home can be “traditional”, with
 145 longer driveways and wider frontage or “neo-traditional” with shorter driveways, shorter
 146 frontages and garages in the rear of the homes. (Figure 2).

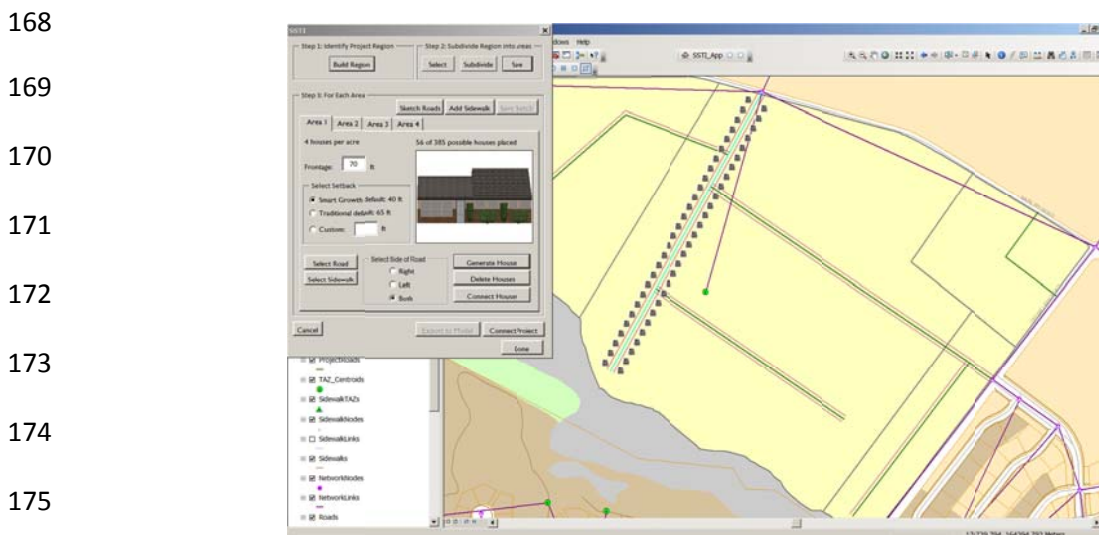


147
 148
 149
 150
 151
 152
 153
 154
 155
 156
 157
 158 **Figure 2: Building Regions and Areas**

159 After defining the subdivision area the user can sketch the internal roadway network and
160 sidewalks where required. Sidewalks are added by selecting the roadway section and selecting
161 the side of the road that contains the sidewalk. User input is required for buffer strips, i.e. green
162 space between the edge of curb and the edge of sidewalk (Figure 3).

163 **Generating Homes and Connecting Homes to Network**

164 New homes are placed on the side of the road selected by the user and the new homes are then
165 connected to the subdivision roadway system as displayed in figure 4. Each home becomes a
166 new traffic analysis zone and residential input data such as density, frontage and commercial
167 input data such as employees and square footage is passed on to these tables.



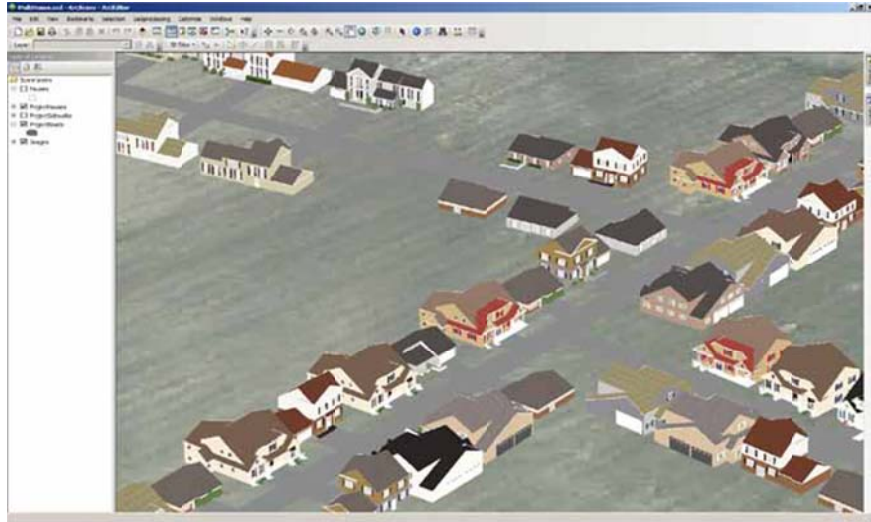
176 **Figure 3: Generating Homes**

177 Finally the project roadways, sidewalks and generated homes are connected to the model
178 network of links and nodes. Two key datasets are exported for use in the travel demand model:
179 (1) Household level data is exported in the node database and (2) network-related data is
180 exported as link and nodes.

181 **3-D VIEWER AND 3-D LIBRARY**

182 To provide a more realistic view of the subdivision, the subdivision node layer data was saved
183 and imported into 3D viewing software. 3D landform files were created and used to display the
184 various land uses. A qualitative assessment can be made by visualizing what the community
185 would look like when built (Figure 4). This provides visual aid in understanding neo-traditional
186 versus traditional housing, including driveway lengths, frontages and density of homes, sidewalk
187 connectivity for pedestrian mobility and where aesthetics of the community can be generally
188 improved to encourage Smart Neighborhood Planning.

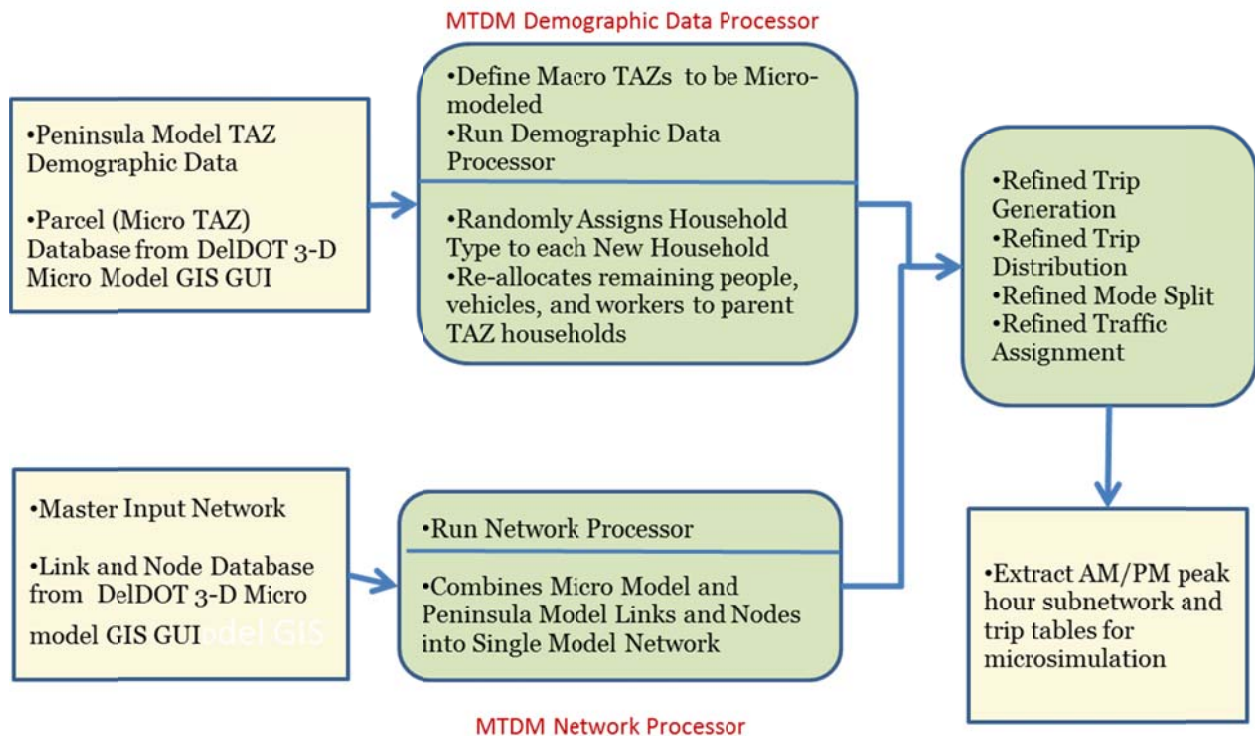
189
190
191
192
193
194
195
196



197 **Figure 4: 3D View of Micro Model Data**

198 **TRAVEL DEMAND PROCESS**

199 The Cube Voyager Micro Travel Demand Model (MTDM) process has been developed as an
200 enhancement of the existing Peninsula Model (Figure 5). This includes developing the process to
201 incorporate the land use data and network enhancements developed in the LUTSAM GUI and
202 export the trip table and network information needed for more detailed microsimulation



203
204

Figure 5: MTDM Flow Chart

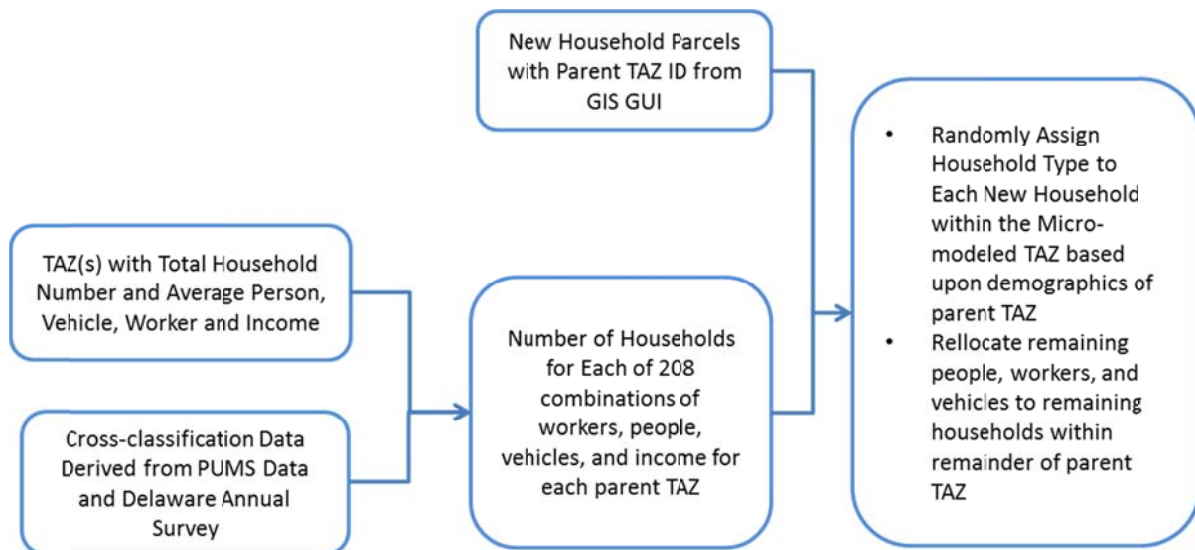
205 The MTDM updates include modifications to the Peninsula Model's demographic data
 206 processing, network processing, trip generation, mode choice, and traffic assignment scripts and
 207 processes in order to assimilate the data generated with the DeIDOT 3-D Micro Model GIS GUI
 208 and produce the trip table and network used as a basis for more detailed microsimulation.

209 **Demographic Data Processing**

210 The model enhancement combines the Peninsula Model with the Micro Model (parcel-level)
 211 demographic data and synthesizes household populations for the micro model TAZs.

212 **Micro Model Area Selection:** The Peninsula Model scripts were modified to allow the user to
 213 define which Peninsula Model TAZ(s) will be micro-modeled. The model then replaces the
 214 selected Peninsula Model TAZ(s) with the Micro TAZ's. Next, the model combines the Micro
 215 TAZ data with Peninsula TAZ's to create a single, combined, demographic data set.

216 **Household Population Synthesizer:** The Peninsula Model uses separate trip generation rates
 217 for households based upon 208 household types representing various combinations of people,
 218 vehicles, workers, and income per household using a socio-economic disaggregation sub model
 219 based upon public use microdata sample (PUMS) and the Delaware Person-Based Time Series
 220 Household Survey. The model flow and scripts were refined in order to randomly assign a
 221 household type to each Micro TAZ based on the proportion of each household type in the parent
 222 Peninsula TAZ (Figure 6). The model then removes the people, workers, and vehicles assigned
 223 to Micro TAZ's from the parent Peninsula TAZ(s) and re-synthesizes the Peninsula TAZ(s)
 224 demographic data for each remainder parent TAZ(s).



226 **Figure 6: MTDM Household Population Synthesizer Work Flow**

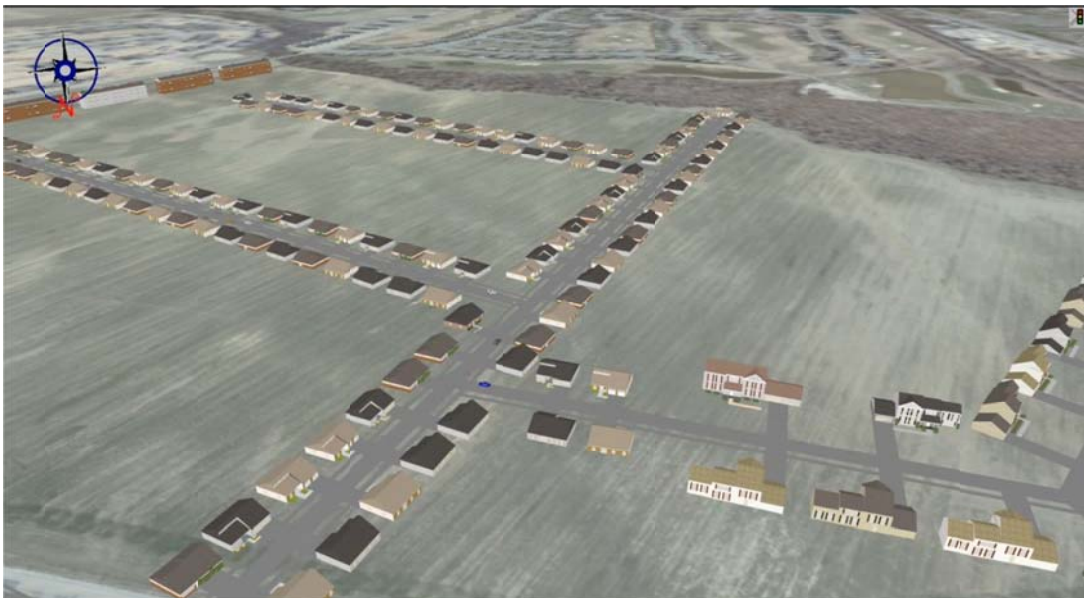
227 **Network Processor**

228 The Peninsula Model's single network processor was refined for the MTDM in order to
229 incorporate the network refinements generated by the LUTSAM GUI. The process allows the
230 selection of additional links and nodes based upon the definitions from the GIS link and node
231 databases. The additional links are then automatically combined with the Peninsula Model
232 network to create a single model network.

233 **MICROSIMULATION PROCESS**

234 The subarea output from the Micro Model Travel Demand process was imported into the
235 microsimulator. These inputs to the microsimulator include: (1) the roadway and sidewalk
236 networks from the travel demand model (2) trip matrices by mode, including auto, bike and
237 pedestrians (3) the 3D landforms that were generated for display purposes from the LUTSAM
238 GUI.

239 A 3D microsimulation model resulted from this process and further refinements, such as
240 allowing proper intersection control, were made to ensure accurate and smoother operations.
241 Dynamic Assignment Routing (DTA) or static routing can be used to assign traffic. Standard
242 video simulations in windows media player format were generated to make the simulations
243 viewable for presentation purposes (Figure 7).

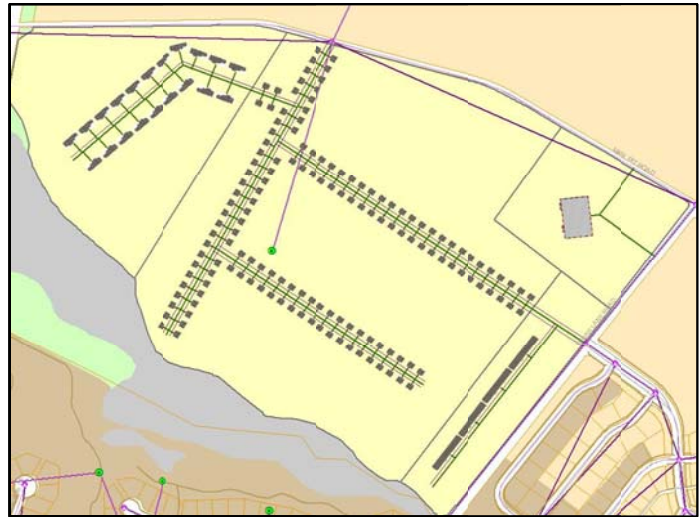


251 **Figure 7: Microsimulation of LUTSAM Suburban Case Study**

252 CASE STUDIES

253 Two case studies were tested to evaluate the sensitivity of a LUTSAM analysis. These included a
 254 traditional suburban neighborhood, and an urban neighborhood. Both studies included the same
 255 number of homes and square footage of commercial space, however the urban development had
 256 a more compact design with a more well-connected sidewalk network to promote pedestrian and
 257 bicycle usage.

258 The first case study was of a sub-urban
 259 auto-centric neighborhood, consisting of
 260 190 single/multi-family homes with a big
 261 box store. This subdivision had four
 262 entrances to adjacent roadways; two from the
 263 subdivision and two from the big box
 264 store. The neighborhood had poor internal
 265 connectivity and inadequate pedestrian
 266 facilities (Figure 8).



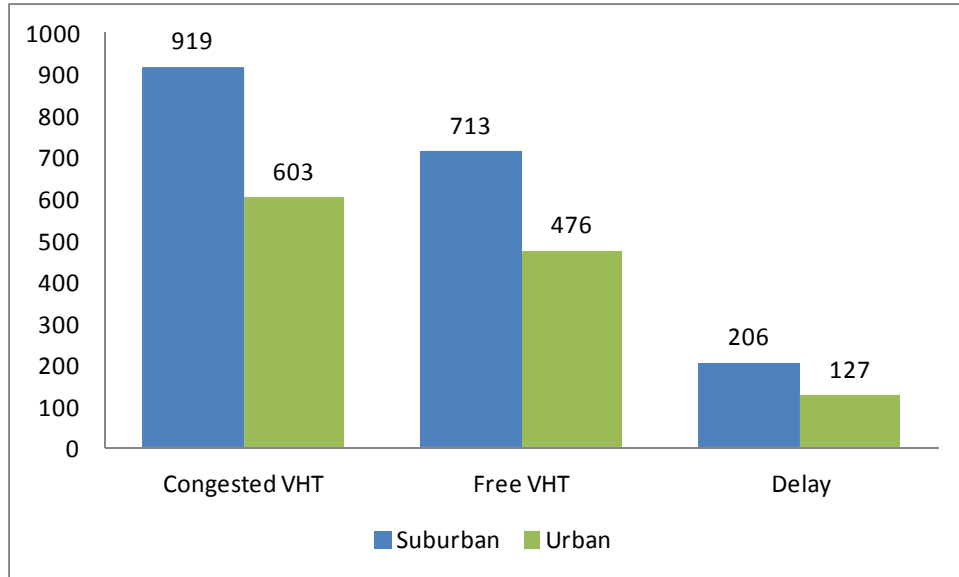
269 **Figure 8: Case Study 1 - Suburban Auto-Centric**

270 Case study 2 was in an urban setting with
 271 a similar 190 single/multi-family homes
 272 and one big box store. The subdivision
 273 was more compact in design and
 274 consisted of two overall entrances. The
 275 subdivision was well connected internally
 276 and was a completely walkable/bikeable
 277 neighborhood (Figure 9).



280
 281 **Figure 9: Case Study 2 - Urban**

282 Various MOEs to compare the two neighborhoods were considered such as VHT, VMT and
 283 vehicle delay. Daily bike and walking trips were quantified and compared, the results are
 284 displayed in the following figures.

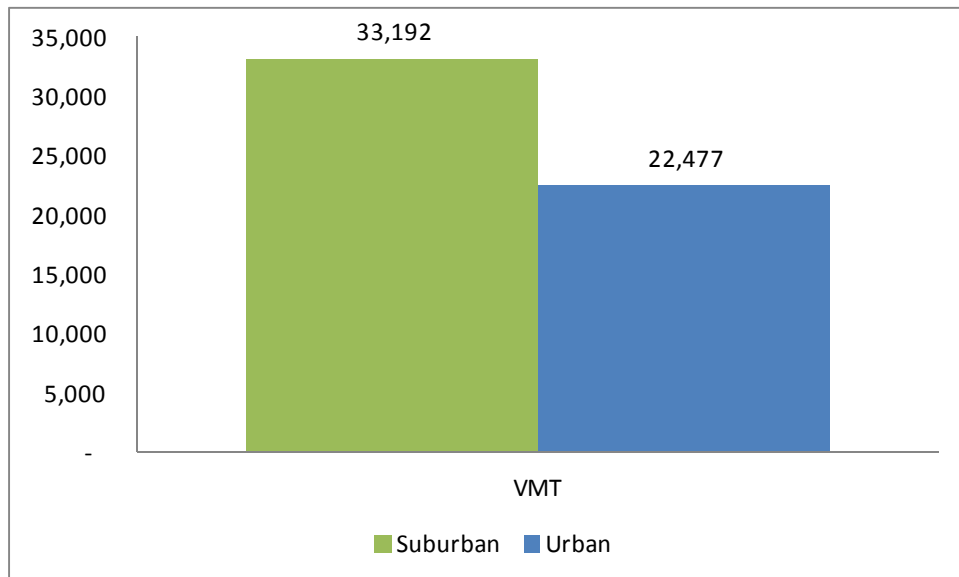


285

286

Figure 10: VHT and Delay Comparison

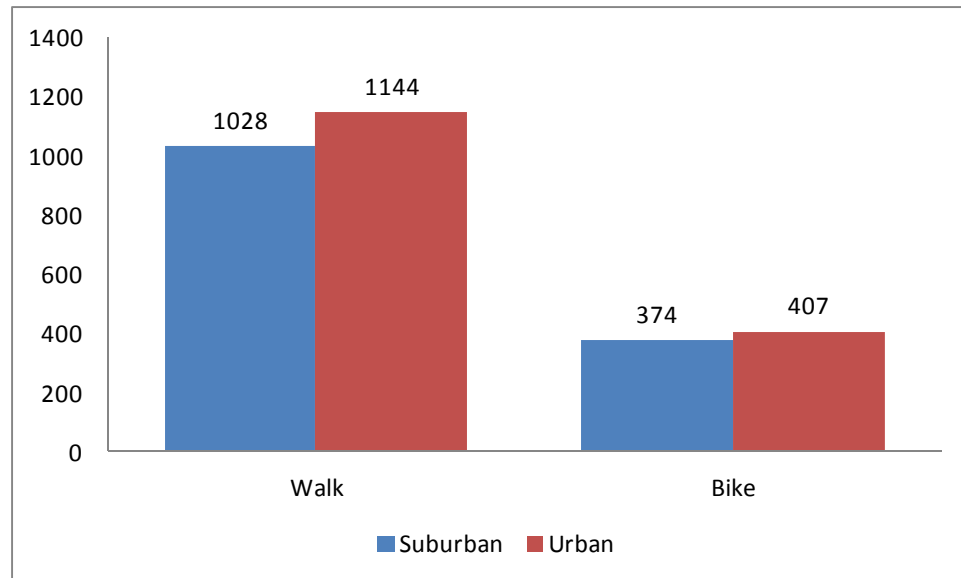
287 VHT and delay comparisons showed significantly reduced congestion for the urban case study
 288 (Figure 10). Congested VHT was reduced by 34% and delay by 39%. VMT comparisons
 289 showed a 32% reduction in miles traveled for the urban case study (Figure 11). This could imply
 290 increased use of alternative modes such as biking and walking along with proximity to other uses
 291 such as transit. This was confirmed by the increased number of bike and walk trips observed in
 292 the neighborhood (Figure 12).



293

294

Figure 11: VMT Comparison



295

296

Figure 12: Walk and Bike Trips Comparison

297

298 CONCLUSIONS AND RECOMMENDATIONS

299 LUTSAM was developed to evaluate smart transportation/smart growth concepts in urban land
 300 form, land use, and multi-modal bicycle and pedestrian-related investments. Using parcel-based
 301 micro modeling, LUTSAM accelerates evaluation of these scenarios, and acts as a bridge
 302 between GIS, travel demand and microsimulation, quantifying easily understood MOEs for
 303 better decision-making. The time needed for scenario evaluation from GIS to microsimulation is
 304 greatly reduced (from over a month to less than a week) which allows the use of the process
 305 during the course of integrated transportation and land use areawide and corridor studies.

306 The results from the case studies demonstrate that LUTSAM is sensitive enough to model and
 307 quantify bicycle and pedestrian related mobility improvements. The next steps in further
 308 development of LUTSAM include collecting additional multi-modal travel data using DelDOT's
 309 Delaware Travel Monitoring System (DTMS) and conducting additional multi-modal surveys in
 310 order to further improve bicycle and pedestrian mode choice modeling.

311 REFERENCES

312 1. Thompson-Graves, S., M. DuRoss, E. C. Ratledge, and D. P. Racca. Developing a
 313 Statewide Travel Demand Model from a Person-Based Time Series Household Survey. *In*
 314 *Transportation Research Record: Journal of the Transportation Research Board*, No. 1981,
 315 Transportation Research Board of the National Academies, Washington, D.C., 2006, pp. 68-75.

316 2. P. Waddell. Parcel-Level Microsimulation of Land Use and Transportation: The
 317 Walking Scale of Urban Sustainability. Resource Paper for the 2009 IATBR Workshop on
 318 Computational Algorithms and Procedures for Integrated Microsimulation Models.

319 3. Thompson-Graves, S., M. DuRoss, Li, L. Development and Application of a Parcel
320 Based Statewide Travel Demand Model for the Assessment of the Travel Impacts of Smart
321 Growth Strategies and Sidewalk Investments. Presented at the 92nd Annual Meeting of the
322 Transportation Research Board, Washington, D.C., 2012.

323
324 4. P. Waddell. Microsimulating parcel-level land use and activity-based travel:
325 Development of a prototype application in San Francisco. In *Journal of Transport and Land Use*,
326 Vol. 3, No. 2, pp. 65-84, Minneapolis, 2010.

327
328 5. Blandford, B., T. Grossardt, J. Ripy, and K. Bailey. Integrated Transportation and
329 Land Use scenario Modeling by Visual Evaluation of Examples. In *Transportation Research*
330 *Record: Journal of the Transportation Research Board*, No. 2076, Transportation Research
331 Board of the National Academies, Washington, D.C., 2006, pp. 192-199.

332 6. Griessenbeck, B. Small is Beautiful: Why You Should Get Rid of Zones and Start
333 Using Parcels in Your Travel Demand Model. Presented at the 88th Annual Meeting of the
334 Transportation Research Board, Washington, D.C., 2009.

335 **ACKNOWLEDGEMENTS**

336 Li Li, Wendy Haubert, Michael Larson (Whitman, Requardt & Associates, LLP)